PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		
INFORMATION DIGGLOCUES	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	Hirota	aka Fukutsuka
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(10010100001100001100001100001100001	Examiner Name		- · ·
	Attorney Docket Numb	er	40911

				ι	J.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date Name of Patentee or Applicant of cited Document		Releva		Lines where			
	1	6799044		2004-09-28	3	Wesby et al.					
	2	6081714		2000-06-27	,	Wakizaka					
If you wisl	h to a	⊥ dd additional U.S. Pate	nt citatio	ı n informatio	n pl	l ease click the	Add button.		Add		
			U.S.P	ATENT AP	PLI	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Name of Patentee or Appl of cited Document		entee or Applicant ament	Releva		Lines where ges or Relev		
	1										
If you wis	h to a	dd additional U.S. Publ		<u> </u>				d button			
				FOREIGN	PAT	ENT DOCUM	IENTS		Remove	1	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		nd ode4	Publication Date	Name of Patentee Applicant of cited Document	e or F	where Rel	or Relevant	T5
	1	2002-528974	JP			2002-09-03					
	2	10-336720	JP			1998-12-18	NEC Corp				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Hirotaka Fukutsuka	
Art Unit		
Examiner Name		
Attorney Docket Numb	er 40911	

	3	4-079527	JP	1992-03-12	Nippon Telegr & Teleph Corp <ntt></ntt>		
	4	3-143123	JP	1991-06-18	Nippon Telegr & Teleph Corp <ntt></ntt>		
	5	10-028282	JP	1998-01-27	NTT Ido Tsushinmo KK		
	6	7-079473	JP	1995-03-20	Mitsubishi Electric Corp		
	7	59-161139	JP	1984-09-11	Nippon Telegr & Teleph Corp <ntt></ntt>		
	8	2000-013844	JP	2000-01-14	Hitachi Denshi Ltd	,	
If you wisl	h to a	dd additional Foreig		·	lease click the Add button	Add	
			NON-PATE	ENT LITERATURE DO	CUMENTS	Remove	
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
6.4	1						
If you wisl	l h to a	 dd additional non-p	atent literature doc	ument citation informat	ion please click the Add bu	utton Add	
				XAMINER SIGNATUR	· · · · · · · · · · · · · · · · · · ·		
Examiner	Signa	ature			Date Considered		
					formance with MPEP 609. with next communication to		jh a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number			
Filing Date			
First Named Inventor	Hirot	taka Fukutsuka	
Art Unit			
Examiner Name) — T	
Attorney Docket Number	er	40911	

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number							
Filing Date							
First Named Inventor Hirotaka Fukutsuka							
Art Unit							
Examiner Name	# 3 						
Attorney Docket Numb	er 40911						

2006-07-19

35878

	CERTIFICATION STATEMENT	_
Plea	ase see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):	
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).	
OR		
· · ·	•	
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).	
	See attached certification statement.	
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.	
V	None	
	SIGNATURE	
	signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the n of the signature.	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Date (YYYY-MM-DD)

Registration Number

Signature

Name/Print

/michael w garvey/

Michael W. Garvey

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.